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TO:

EXAMINER

Ms. Rita WHITE

TELEPHONE NO.

703-308-9140x231

ART UNIT

SERIAL NO.

10/554,382

FROM:

Mrs. GiGi LAMPRECHT

PHILIPS ELECTRONICS
Intellectual Property & Standards
P.O. Box 3001
Briarcliff Manor, NY 10510-8001 US
TELEPHONE: (914) 333-9619

DEAR MS. WHITE - Per your VM message, enclosed please find the application papers noting our Attorney Docket No., PHAT030027US, as requested. Thank you.

I certify that this document consisting of $\frac{\partial \partial}{\partial x}$ pages (including this cover sheet) is being transmitted via facsimile to the United States Patent and Trademark Office at the telephone number set forth above on 571-273-9868.

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	Under the Peperwork Reduction A	95, no persons are required to respond to a collection	ATTORNEY'S DOCKET NUMBER
. TRA	NSMITTAL LETTER	TO THE UNITED STATES	TIME PHATE 30027US
		(EI) LIFFICE (DU/EU/UV)	U.S. APPLICATION NO. (III KNOWN, See 37 CFR 1.5)
CON	CERNING A SUBMIS	SION UNDER 35 U.S.C. 371	PRIORITY DATE CLAIMED
PCT/TR20	IONAL APPLICATION NO. 004/050475	April 21, 2004	April 29, 2003
TITLE OF I	NVENTION FOR A COMMUNICATION	ON PARTNER APPLIANCE HAVING TW	O SEND MODES THAT CAN BE ACTIVATED
APPLICAN	T(S) FOR DO/EO/US		
Franz AMT	MANN; Markus HARNISCH;	Holger KUNKAT; Stefan POSCH ed States Designated/Elected Office (DO/E	O/US) the following items and other information:
1. 2 T	This is a FIRST submission of ite	ems concerning a submission under 35 U.S.C. 3	71.
, D	his is a SECOND or SUBSEQU	ENT submission of Items concerning a submissi	lon under 35 U.S.C. 371.
, m	his is an express request to beg (5), (6), (9) and (21) indicated b	oin national examination procedures (35 U.S.C. 3	971(f)). The submiseion must include items
_	The US has been elected (Article		i !
5.		otication as filed (35 U.S.C. 371(c)(2))	
	s. Is attached hereto (m	equired only if not communicated by the internati	onal Bureau).
	b. I has been communic	aled by the international Bureau.	
	c. Is not required, as th	e application was filed in the United States Rece	Nving Office (RO/US).
6.	An English language translatio	on of the International Application as filed (35 U.S	s.c. 371(c)(2).
	a. Is attached hereto.		
		submitted under 35 U.S.C. 154(d)(4).	i
7. []	Amendments to the claims of	the International Application under PCT Article 1	9 (35 U.S.C. 371(c)(3))
	E .	o (required only if not communicated by the intern	national Bureau).
	b. I have been commu	nicated by the international Bureau.	
ł		te; however, the time limit for making such amen	dments has NOT expired.
		de and will not be made.	A UNIO 40 (TE IN S. C. 974/0)/9))
B. 🗆	i	ion of the amendments to the cistma under PCT.	Ande 19 (30 U.S.C. 37 NO/O/A
9. 🗹	An oath of declaration of the l	nventor(s) (35 U.S.C. 371(c)(4)).	. Examination Report under PCT
10.	An English language translation Article 36 (35 U.S.C. 371(c)(5	on of the annexes of the international Preliminary)).	y Chambridge Transport and a second
Items	11 to 20 below concern docu	ment(a) or information included:	į
11.	An Information Disclosure Sta	stement under 37 CFR 1.97 and 1.98.	
12 🗸	An assignment document for	recording. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.
13. 🗸	A preliminary amendment		
14.	An Application Data Sheet un	der 37 CFR 1.76.	İ
15.	A substitute specification.		
16.	A power of attorney and/or ch	nange of address letter.	
17.		the sequence listing in accordance with PCT Rul	
18.	A second copy of the publish	ed International Application under 35 U.S.C. 154	(d)(4).
19. 🗀	A second copy of the English	tanguage translation of the international applicat	tion under 35 U.S.C. 154(d)(4). 6; Charge Authorization; Receipt Confirmation Postcard
1 [7]	Other items for information:	cpress Mail Certificate; P10/55/60, P10/55/7	

This collection of Information is required by 37 CFR 1.414 and 1.491-).492. The information is required to obtain or relain a benefit by the public, which is to file (and by the USPTO to process) an application. Combdentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete (USPTO to process) an application. Combdentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete the file of the USPTO. Time will vary depending upon the individual case. Any comments on the amount including gathering information, preparing, and submitting the complete that form and/or suggestions for reducing life burden, should be sent to the Chief Information Officer, U.S. Pâtent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 02-2005) through 3/31/2007. OMB 0651-0021 S. DEPARTMENT OF COMMERCE

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Under the Pa	perwork Reduction Act of 1985, no	persons are required to response	LICATION NO.	ATTORNEY'S	DOCKET NUMBER	
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Independent claims	2 -3=		x \$200	\$ 0.00		
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claimed priority date (37 CFR 1.402(1).	TOTAL	NATIONAL FEE 3	\$ 900.00		
Fee for recording the	enclosed assignment (37 CFF	t 1.21(h)). The assignment mu	st be accompanied +	\$ 40.00		
by an appropriate cov	er sheet (37 CFR 3.28, 3.31).	Addition has been a	ees enclosed =	\$ 940.00		
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Briarcliff Manor, NY 10510

FORM PTO-1380 (REV. 02-2005)

Page 2 of 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

AMTMANN et al.

PHAT030027US

Serial No.

Group Art Unit

Filed: CONCURRENTLY

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PTD:S8/60 (11-04)
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_	STATEMENT UNDER 37 CFR 3.73(b)	
- Applicant/Patent O	wner. Koninklijke Philips Electronics N.V.	
Application No /Pai	ent No.: Concurrently Filed/Issue Date: Concurrently	
Entitled: CIRCUIT F	OR A COMMUNICATION PARTNER APPLIANCE HAVING TWO SEND MODES THAT CA	N BE ACTIVATED
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	of the entire right, title, and interest; or	
The extent ()	of less than the entire right, title and interest. by percentage) of its ownership interest is% ation/patent identified above by virtue of either:	
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(NOTE: A se	Ignments or other documents in the chain of title are attached. parate copy (i.e., the original assignment document or a true copy of the original document to a true copy of the original document to Assignment Olvision in accordance with 37 CFR Part 3, if the assignment is to be recorded of the USPTO. See MPEP 302.08]	nent) be
The undersigned	(whose title is supplied below) Is authorized to act on behalf of the assignee.	_
	U-20-05 DAVID BARNES-REG. 47.40)
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This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confiderately is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, underlying gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

AMIMANN et al.

PHAT0300270S

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

CIRCUIT FOR A COMMUNICATION PARTNER APPLIANCE HAVING TWO SEND MODES THAT CAN BE ACTIVATED

Commissioner for Patents Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Prior to calculation of the filing fee and examination, please amend the above-identified application as follows:

IN THE CLAIMS

Please amend the claims as follows:

1. (original) A circuit (2) for a first communication partner appliance (1) designed for contactless communication,

which first communication partner appliance (1) belongs to a communication system comprising at least one second such communication partner appliance (1').

in which circuit (2) either an active send mode (ABM) or a passive send mode (PBM) may be activated and

wherein the circuit comprises terminal means (3, 4), which are provided to transmit carrier signals (TS1, TS2) usable for contactless communication, and

wherein the circuit (2) comprises communication signal processing means (16), with which communication signal processing means (16) a carrier signal (TS1) generated with the communication signal processing means (16) may be used for sending communication when the active send mode (ABM) is activated, and

with which communication signal processing means (16) a carrier signal (TS2) generated with a second communication partner appliance (1') and received by the circuit (2) via the terminal means (3, 4) may be used for sending communication when the passive send mode (PBM) is activated, and

wherein the circuit comprises determination means (15), which are designed to determine first energy source information (SI1), which first energy source information (SI1) is characteristic of at least one parameter of at least one energy source (11, 12) serving to supply the circuit with electrical energy, and

wherein the circuit (2) comprises decision means (26), which are designed to form a decision result taking account of the first energy source information (SI1) determined using the determination means (15), which decision result influences which send mode is to be activated in the circuit (2) of the first communication partner appliance (1).

- 2. (original) A circuit (2) as claimed in claim 1, wherein the determination means (15) are designed to determine first value information (VII), which first value information (VII) is characteristic of the value of the energy available for supplying the circuit (2) and which first value information (VII) is contained in the first energy source information (SII).
- 3. (original) A circuit (2) as claimed in claim 1, wherein the determination means (15) are designed to determine first type information (MI1), which first type information (MI1) is characteristic of the type of energy source (11, 12) serving to

supply the circuit (2) and which first type information (MII) is contained in the first energy source information (SII).

- (original) A circuit (2) as claimed in claim 1, wherein the decision means (26) are additionally designed to form the decision result taking account of second energy source information (SI2) available in the circuit (2) but determined in a circuit (2') of a second communication partner appliance (1'), which second energy source information (SI2) is characteristic of at least one parameter of at least one energy source (11', 12', 11'', 12') serving to supply the circuit (2') of the second communication partner appliance (1') with electrical energy, which decision result influences which send mode is to be activated in the circuit (2) of the first communication partner appliance (1)
- (original) A circuit (2) as claimed in claim 4, wherein the decision means (26) are additionally designed to form the decision result taking account of second value information (VI2) available in the dircuit (2) but determined in the circuit (2') of the second communication partner appliance (1'), which second value information (VI2) is contained in the second energy source information (SI2) determined in the circuit (2') of the second communication partner appliance (1').

- 6. (original) A circuit (2) as claimed in claim 4, wherein the decision means (26) are additionally designed to form the decision result taking account of second type information (MI2) available in the circuit (2) but determined in the circuit (2') of the second communication partner appliance (1'), which second type information (MI2) is contained in the second energy source information (SI2) determined in the circuit (2') of the second communication partner appliance (1').
- 7. (original) A circuit (2) as claimed in claim 1, wherein the decision means (26) are designed to communicate the decision result to the second communication partner appliance (1') with the aid of the communication signal processing means (16).
- 8. (currently amended) A circuit (2) as claimed in claim 1 or as claimed in claim 4, wherein control means (27) are provided, which are designed to receive the decision result and which, if according to the decision result the send mode other than the previously activated send mode is to be activated, are designed to terminate the previously activated send mode, thus terminating a communication protocol used therefor, and to activate the send mode

to be activated according to the decision result, restarting the stated communication protocol.

- 9. (currently amended) A circuit (2) as claimed in claim 1-er-as claimed in claim 4, wherein control means (27) are provided, which are designed to receive the decision result and which, if according to the decision result the same send mode as the previously activated send mode is to be activated, are designed to maintain the previously activated send mode, with termination and subsequent restart of a communication protocol used.
- 10. (currently amended) A communication partner appliance (1) having a circuit (2) as claimed in any one of claims 1 to 9 claim 1.
- 11. (original) A method of controlling a circuit (2) with regard to its send modes, which circuit (2) is provided for a first communication partner appliance (1) designed for contactless communication, which first communication partner appliance (1) belongs to a communication system comprising at least one second such communication partner appliance (1'), and which circuit (2) comprises terminal means (3, 4), which are provided to transmit carrier signals (TS1, TS2) usable for contactless communication, and in which circuit (2) either an active send mode (ABM) or a

passive send mode (PBM) may be activated, in which active send mode (ABM) a carrier signal (TS1) that can be generated by communication signal processing means (16) of the circuit (2) may be used for sending communication by means of the communication signal processing means (16) and in which passive send mode (PBM) a carrier signal (TS2) received by the circuit (2) may be used for sending communication,

wherein first energy source information is determined (SI1), which first energy source information (SI1) is characteristic of at least one parameter of at least one energy source (11, 12) serving to supply the circuit (2) with electrical energy, and

wherein a decision result is formed taking account of the determined first energy source information (SII), which decision result influences which send mode is to be activated in the circuit (2) of the first communication partner appliance (1).

12. (original) A method as claimed in claim 11, wherein first value information (VI1) is determined, which first value information (VI1) is characteristic of the value of the energy available for supplying the circuit (2) and which first value information (VI1) is contained in the first energy source information (SI1).

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(original) A method as claimed in claim 11, wherein a first 13. type information (MI1) is determined, which first type information (MI1) is characteristic of the type of energy source (11, 12) serving to supply the circuit (2) and which first type information (MII) is contained in the first energy source information (SII).

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- (original) A method as claimed in claim 11, wherein a 14. decision result is formed additionally taking account of second energy source information (SI2), available in the circuit (2) but determined in a circuit (2') of a second communication partner appliance (1'), which second energy source (SI2) is characteristic of at least one parameter of at least one energy source (11', 12', 11'', 12') serving to supply the circuit (2') of the second communication partner appliance (1) with electrical energy, which decision result influences which send mode is to be activated in the circuit (2) of the first communication partner appliance (1).
- (original) A method as claimed in claim 14, wherein the decision result is formed additionally taking account of second value information (VI2) available in the circuit (2) but determined in the circuit (2') of the second communication partner appliance (1'), which second value information (VII) is contained in the

second energy source information (SI2) determined in the circuit (2') of the second communication partner appliance (1').

- 16. (original) A method as claimed in claim 14, wherein the decision result is formed additionally taking account of second type information (MI2) available in the circuit (2) but determined in the circuit (2') of the second communication partner appliance (1'), which second type information (MI1) is contained in the second energy source information (SI2) determined in the circuit (2') of the second communication partner appliance (1').
- 17. (original) A method as claimed in claim 11, wherein the decision result is communicated to the second communication partner appliance (1') with the aid of the communication signal processing means (16).
- 18. (currently amended) A method as claimed in claim 11 or as claimed in claim 14, wherein, if according to the decision result the send mode other than the previously activated send mode is to be activated, the control means (27) of the circuit (2) designed to receive the decision result terminate the previously activated send mode through termination of a communication protocol used therefor,

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and the send mode to be activated according to the decision result is activated with a restart of said communication protocol.

(currently amended) A method as claimed in claim 11-or-as 19. claimed in claim 14, wherein, if according to the decision result the same send mode as the previously activated send mode is to be activated, the control means (27) of the circuit (2) designed to receive the decision signal maintain the previously active send mode through termination and subsequent restart of a communication protocol used.

REMARKS

The foregoing amendments to the claims were made solely to avoid filing the claims in the multiple dependent form so as to avoid the additional filing fee.

The amendment to the claims does not address issues of patentability and Applicants respectfully reserve all rights they may have under the Doctrine of Equivalents. Applicants furthermore reserve their right to reintroduce subject matter deleted herein at a later time during the prosecution of this application or continuing applications.

Respectfully submitted,

David Barnes, Reg. 47,407

Attorney

(914) 333-9693

ATTORNEY'S DOCKET ATENT APPLICATION AND POWER OF A RNEY COMBINED DECLARATION F NUMBER PHAT030027 US (includes Reference to PCT International Applications) As a below named inventor, I hereby declare that My residence, post office address and citizenship are as stated next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: «Circuit for a communication partner appliance having two send modes that can be activated» the specification of which (check only one item below): 🔀 is attached hereto. ☐ was filed as United States application Serial No on and was amended was filed as PCT international application Number on and was amended under PCT Article 19 (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for petent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed: PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119: PRIORITY DATE OF FILING APPLICATION NUMBER COUNTRY CLAIMED DAY, MONTH, YEAR UNDER 35 USC 119 YES 29-04-2003 03 101 168.7 EΡ U.S. DEPARTMENT OF COMMERCE - Patent and Trademarks Office

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For good and	valuable consideration, of which I acknowledge receipt, I, as a below-nat	ned assignor, sell and assign
to Koninklijke	Philips Electronics N.V. (hereinafter "Assignee") a corporation existing	under the laws of Kingdom
of the Netherla	ands, whose business address is Groenewoudseweg 1, 5621 BA Eindhove	en, the Netherlands, its
successors and	assigns, the application for a United States Patent for the improvements	in a
«Circuit for a	communication partner appliance having two send modes that can	be activated» invented by
us, which appl	ication:	'
, ,		(

«Circuit for a	communic	cation partner appliance	having two send modes that can be act	tivated» invented by
us, which appl	ication:		;	
			ļ	
is executed	concurrent	tly herewith		
was execut	ed by me/u	s on		
is Serial N	þ	filed		
is Internati	onal Applic	ation No	filed,	
			i	
and all rights i	n and to sai	d application, and all Pater	nts which may be granted therefor, and a	II divisions, reissues.
	1		and request the Commissioner of Patents	
			herefrom to said Assignee as assignee of	
	l		at I will communicate to said Assignee or	
	l	· · · · · · · · · · · · · · · · · · ·	s and testify in any legal proceeding, sign	
-	1		ations, make all rightful oaths and genera	
	I		and nominees, to obtain and enforce pro	
invention.		,,	,	• •
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
20 04	20-4	(sign name here)	the state of	, Assignor
20,04.	220 1	(print name here)	Franz AMTMANN	
13410		(print name nerv)	,	
			:	
21 010	مملا	(sign name here)	4. 11. 11. 1	, Assignor
21.04.2	810 4	(print name here)	Markus HARNISCH	,
Date		(print name nere)	Mairus IIAIG 1200II	
:				
,	 			
22.04.2	امراله	(sign name here)	11102.1	, Assignor
Date	- ·	(print name here)	Holger KUNKAT	, , , , , , , , , , , , , , , , , , , ,
Date		(print name nere)	Holger RONKAT	
20 01 0	ر ا	(sign name here)	self - I call	, Assignor
20,04,2 Date		(print name here)	Stefan POSCH	, 7 10018/101
Vall		(bruit name nere)	Stotali i OSC(i	
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STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

AMTMANN et al.

PHAT030027US

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

CIRCUIT FOR A COMMUNICATION PARTNER APPLIANCE HAVING TWO SEND MODES THAT CAN BE ACTIVATED

Commissioner for Patents Alexandria, VA 22313-1450

AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

David Barnes,

Attorney

(914) 333-9693

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